



United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

APPLICATION NO. FILING DATE		ATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/967,029 09/28/2001		001	Keith Lurie	016354-004500US	4197
20350	7590 I	12/16/2003	EXAMINER		
TOWNSEN	ID AND TOW	PATEL, I	PATEL, MITAL B		
TWO EMBA EIGHTH FL	ARCADERO CE OOR	ART UNIT	PAPER NUMBER		
	CISCO, CA 94	1111-3834		3743	16

DATE MAILED: 12/16/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

-					/				
		Application N	No.	Applicant(s)	01				
Office Assistant Summers		09/967,029		LURIE ET AL.	<u> </u>				
	Office Action Summary	Examiner		Art Unit					
		Mital B. Patel		3743					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply									
THE - Exte after - If the - If NC - Failu - Any	ORTENED STATUTORY PERIOD FOR REPL MAILING DATE OF THIS COMMUNICATION. nsions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. e period for reply specified above is less than thirty (30) days, a repl period for reply is specified above, the maximum statutory period are to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	136(a). In no event, h ly within the statutory will apply and will exp e, cause the applicati	nowever, may a reply be time minimum of thirty (30) days bire SIX (6) MONTHS from to ton to become ABANDONED	ely filed will be considered timely. the mailing date of this con (35 U.S.C. § 133).	nmunication.				
1)⊠	Responsive to communication(s) filed on 13 N	lovember 2003							
2a) <u></u>	This action is FINAL . 2b)⊠ This	action is non-f	inal.						
3)□	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.								
Disposition of Claims									
4)⊠	4)⊠ Claim(s) <u>1,4,5 and 7-13</u> is/are pending in the application.								
	4a) Of the above claim(s) is/are withdrawn from consideration.								
5)□	Claim(s) is/are allowed.								
6)⊠	Claim(s) <u>1,5 and 7-13</u> is/are rejected.								
7)🖂	Claim(s) 4 is/are objected to.								
8)□	Claim(s) are subject to restriction and/o	or election requ	irement.						
Applicat	ion Papers				•				
9) The specification is objected to by the Examiner.									
10)	The drawing(s) filed on is/are: a) acc	•	•						
	Applicant may not request that any objection to the								
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).								
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.									
Priority under 35 U.S.C. §§ 119 and 120									
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 13) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78. a) The translation of the foreign language provisional application has been received. 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78. 									
Attachmen									
2) Notic	ce of References Cited (PTO-892) ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449) Paper No(s) _	4) 5) 	<u></u>	(PTO-413) Paper No(s atent Application (PTO					

Page 2

Application/Control Number: 09/967,029

Art Unit: 3743

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/13/03 has been entered.

Response to Amendment/Arguments

- 2. Applicant's arguments filed 11/13/03 have been fully considered but they are not persuasive.
- 3. In response to Applicant's argument that claim 1 is amended to include the recitation "lowering the intrathoracic pressure by spontaneous breathing of the patient while preventing or inhibiting respiratory gas flow to the lungs...," it should be noted that there would be some spontaneous breathing by the patient either through the nose or the mouth.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Application/Control Number: 09/967,029 Page 3

Art Unit: 3743

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 5. Claims 1, 5, 7, 8, 9, 10, 11, 12, and 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lurie et al (US 5,692,498) in view of Lurie et al (US 5,588,422).
- 6. As to claim 1, Lurie et al (US 5,692,498) teach a method for administering a drug to a patient, the method comprising coupling a valve system 108 to the patient's airway, wherein the valve system is configured to prevent or impede respiratory gases from flowing into the lungs until a magnitude of a threshold negative intrathoracic pressure in the range from 0 cm H₂O to about 40 cm H₂O is exceeded (Col. 14, lines 33-59); lowering the intrathoracic pressure by spontaneous breathing of the patient while preventing or inhibiting respiratory gas flow to the lungs with the valve system to cause blood to flow into the thorax and thereby increasing vital organ perfusion to enhance circulation of the drug (Col. 13, lines 8-24). Lurie et al (5,692,498) fail to specifically teach introducing a drug into the patient. However, Lurie et al (US 5,588,422) does teach the use of introducing a drug into a patient in conjunction with CPR in order to enhance patient survival and reduce heart and brain damage. Therefore, it would have been obvious to one of ordinary skill in the art to introduce a drug in conjunction with the CPR valving system of Lurie et al (5,692,498) in order to enhance patient survival and reduce heart and brain damage.
- 7. **As to claim 5**, the above combination teaches a method wherein the intrathoracic pressure is reduced by squeezing the chest and relaxing the chest with a

Application/Control Number: 09/967,029 Page 4

Art Unit: 3743

chest caress Col.3, line 50 while preventing or inhibiting airflow to the lungs with the valve system

- 8. **As to claim 7**, the above combination teaches a method wherein the drug is administered by a process selected from a group consisting of intravenously, through the patient's bone, through the patient's airway, orally, nasally, endobronchially, rectally, and transdermally.
- 9. **As to claim 8**, the above combination teaches a method wherein the drug is administered through a facial mask or the valve system **Col. 5**, **lines 5-11**.
- 10. **As to claim 9**, the above combination teaches a method wherein the drugs are selected from a group consisting of glucose, sodium bicarbonate, oxygen, steroids, vasopressor drugs, anti-arrhythmic drugs, anti-seizure, anti-asthma, anesthetics, and cooling solutions to cool the brain during cardiac arrest.
- 11. **As to claim 10**, the above combination teaches a method wherein the valve system is configured to permit respiratory gases to exit the patient's lungs, and further comprising forcing respiratory gases from the lungs and out the valve system.
- 12. **As to claim 11**, the above combination teaches a method wherein the valve system is configured to prevent respiratory gases from exiting the patient's lungs until a positive end expiratory pressure in the range from about 0 cm H₂O to about 20 cm H₂O is exceeded.
- 13. **As to claim 12**, the above combination teaches a method wherein the valve system is coupled to a facial mask that is placed over the mouth and nose, and further comprising removing the drug from the drug storage compartment of the facial mask.

Application/Control Number: 09/967,029 Page 5

Art Unit: 3743

14. **As to claim 13**, the above combination teaches a method wherein the valve system is coupled to an inhalation device that includes the drug, and further comprising inhaling from the inhalation device to administer the drug.

Allowable Subject Matter

15. Claims 4 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mital B. Patel whose telephone number is 703-306-5444. The examiner can normally be reached on Monday-Friday (8:00 - 4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Henry Bennett can be reached on 703-308-0101. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0858.

mbp

Application/Control Number: 09/967,029

Art Unit: 3743

Primary Examiner

Page 6